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NORTH &
WESTERN L.L.P.**
THE TEAM APPROACH TO PREMIER PERFORMANCE

INTELLECTUAL PROPERTY LAW

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RELATED MATTERS

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*ADMITTED IN CALIFORNIA ONLY
CALVIN E. THORPE
(1938-1999)

July 16, 2002

7/16
1-16-03
K Spears

Commissioner of Patents and Trademarks
ATTENTION: FINANCE DEPARTMENT
Washington, D.C. 20231

RE: S/N 010/075,936
American Technology Corporation
Deposit Account 20-0100
Our Docket No. T9574.NP

Dear Sirs:

On May 21, 2002 our deposit account was debited \$375 (code 202) for independent claims in excess of three. This was in error, please see photocopy of check for \$538 which accompanied Response to Notice to File Missing Parts of Patent Application (page 2) sent to the USPTO office on 4-19-02, which sets out fee calculations.

We would appreciate your crediting our deposit account 20-0100 (see enclosed Monthly Statement of Deposit Account with charge) back in the amount of \$375. Please feel free to contact the undersigned should you have any questions.

Very truly yours,

THORPE NORTH & WESTERN, L.L.P.

Clifton W. Thompson

01/17/2003 SCARMICH 00000001 10075936

01 FC:2202 CWT:ja 45.00 OP
enc.

H:\FILES\T9000\T9574\T9574-refund dep acc ltr.7-16-02.wpd

Adjustment date: 01/17/2003 SCARMICH
05/21/2002 SSALEEKU 00000004 200100 10075936
02 FC:202 375.00 CR -45.00 OP



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Account No.
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Date
5-31-02
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1

THORPE NORTH & WESTERN
CALVIN E. THORPE
P O BOX 1219

FINA

SANDY UT 84091-1219

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
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DATE POSTED		CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE	
MO.	DAY							
5	7	02	25	PCT/US02/04485	Airsecooter T9089. PCT 76096829	704	-63.00	Credit 31684.10
5	10	02	56		Sarcos T9000. TM	361	325.00	Billed 1359.10
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5	21	02	12	10075936	ATC T9574.NP	202) Pamela	375.00	1892.10
5	30	02	23	E-REPLENISHMENT	DEPOSIT	701	-2000.00	Deposit 3892.10
5	31	02	39	29161098	20607. DES	581) Pamela	40.00	3852.10
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JUL - 1 2002								
Thorpe, North & Western								
202- Independent claims in excess of three 581- Recording each Patent Assignment, Agreement or other paper for property								

AN AMOUNT SUFFICIENT TO
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MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE
1621.10

TOTAL CHARGES
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TOTAL CREDITS
2971.00

CLOSING BALANCE
3852.10

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31-5/1240
19

THORPE NORTH & WESTERN, L.L.P.
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P.O. BOX 1219
SANDY, UT 84091-1219
(801) 566-6633

4/19/2002

PAY TO THE Commissioner of Patents and Trademarks \$ **538.00

Five Hundred Thirty-Eight and 00/100***** DOLLARS

MEMO T9574.NP Filing Fee

14280 1240000540 019 01311 910

Commissioner of Patents and Trad

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T9574.NP F

221 of 14280

Please acknowledge receipt of:

Patent Application
 Drawings _____ sheets
 Informal Formal

Certificate of Mailing
 Nonpublication Request
 Check No. 14280 for \$ 538.00

Declaration and Petition
 Declaration and Petition
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IDS, PTO 1449 & References
 Transmittal Letter
 Assignment with Cover

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 Missing Parts Response
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 PCT Fees
 Other _____

PTO-84 (Rev. 1-18-97)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Croft III, et al.

SERIAL NO.: 10/075,936

FILED: 1/25/02

FOR: PLANAR MAGNETIC SPEAKERS WITH
SECONDARY MAGNETIC STRUCTURE

ART UNIT:

EXAMINER:

DOCKET NO.: T9574.NP

CERTIFICATE OF DEPOSIT UNDER 37
C.F.R. 1.8

I hereby certify that this paper or fee is being
deposited with the United States Postal Service
under 37 C.F.R. § 1.8 on the date indicated
above and is addressed to: Box Missing Parts,
Assistant Commissioner for Patents,
Washington, DC 20231.

Judy Anderson
Judy Anderson
Dated 4-19-02

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
PATENT APPLICATION Filing Date Granted

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 19, 2002, please find
enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with
the above-identified patent application:

- An Assignment from the inventors to AMERICAN TECHNOLOGY CORPORATION;
- A Verified Statement Claiming Small Entity Status signed by an officer of American Technology Corporation;
- A copy of the Notice to File Missing Parts of Application Filing Date Granted;
- Inventor Declaration and Petition;
- Power of Attorney signed by officer of American Technology Corporation;

Assistant Commissioner for Patents
Page 2

X Check in the amount of \$538.00 to cover the filing fee for the above-identified application, the recordation fee for the enclosed patent assignment, and the surcharge fee pursuant to 37 C.F.R. § 1.16(e). These fees have been calculated as follows:

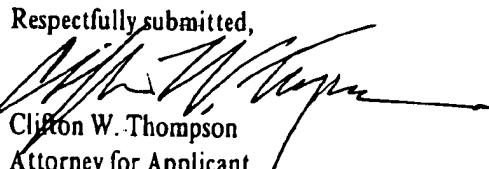
FOR	NO. FILED	NO. EXTRA	SMALL ENTITY		CROSS-REFERENCE SMALL ENTITY	
			RATE	Fee	RATE	Fee
BASIC FEE				\$370		\$740
TOT. CLAIMS	-20 = 27	7	x 9 =	\$ 63	x 18 =	\$
IND. CLAIMS	-03 = 3	0	x 42 =	\$	x 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			\$280		\$280	
ASSIGNMENT FILING FEE			\$ 40	\$ 40	\$ 40	
SURCHARGE FEE				\$ 65		
			TOTAL	\$ 538	TOTAL	

The Commissioner is hereby authorized to charge any additional fees associated with this communication or to credit any overpayment to Deposit Account No. 20-0100.

Please address all future correspondence in connection with this case to the attention of the undersigned.

DATED this 19th day of April, 2002.

Respectfully submitted,


Clinton W. Thompson
Attorney for Applicant
Registration No. 36,947
THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

CT:ja
Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: James J. Croft III, et al.

SERIAL NO.: 10/075,936

FILED: 1-25-02

FOR: PLANAR-MAGNETIC SPEAKERS WITH
SECONDARY MAGNETIC STRUCTURE

ART UNIT: 2838

DOCKET NO.: T9574.NP

CERTIFICATE OF DEPOSIT
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is
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Postal Service as First Class Mail, postage
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date indicated below and is addressed to
Assistant Commissioner of Patents,
Washington, D.C. 20231.

Judie Anderson

7-16-02

Date of Deposit

REQUEST FOR
CORRECTION OF FILING RECEIPT DOCUMENT

Initial Patent Examination's Customer Service Center

Assistant Commissioner for Patents

Washington, DC 20231

Sirs:

This communication is filed in response to the Filing Receipt Document dated 4-19-02. Please correct
the following:

Total individual claims are 3.

This application was filed 1-25-02

A copy of the Filing Receipt Document, with marked corrections, is enclosed.

DATED this 16th day of July, 2002.

Respectfully submitted,
Clinton W. Thompson
Clinton W. Thompson
Attorney for Applicant
Registration No. 36,947
Customer No. 20,551

THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

CWT:ja

enclosure

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Page 1 of 2



Thorpe, North & Western
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/075,936	01/25/2002	2838	0.00	T9574.NP	16	22	13 3

CONFIRMATION NO. 2517

FILING RECEIPT



OC00000007919155

Clifton W. Thompson
THORPE, NORTH & WESTERN L.L.P.
P.O. Box 1219
Sandy, UT 84091-1219

Date Mailed: 04/19/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

James J. Croft III, Poway, CA;
David Graebener, Carson City, NV;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/264,474 01/26/2001

Foreign Applications

If Required, Foreign Filing License Granted 02/15/2002

Projected Publication Date: Not Applicable, filed prior to November 29, 2000? Filed 1-25-02

Non-Publication Request: No

Early Publication Request: No

Title

Planar-magnetic speakers with secondary magnetic structure

Preliminary Class

323